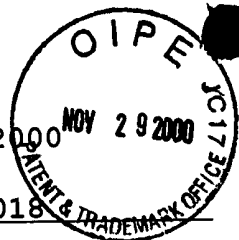


173
EXPRESS MAIL NO. EL701464344US

DATE DEPOSITED: November 28, 2000

Practitioner's Docket No. 5775.018



SECTOR
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Frank Karlsen

Application No.: 09/634,960

Group No.: 1655

Filed: August 8, 2000

Examiner: Unknown

For: METHOD FOR IDENTIFICATION OF THE INDICATORS OF CONTAMINATION
IN LIQUID SAMPLES

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

PTO PERSONAL
LCK

COMPLETION OF FILING REQUIREMENTS
- NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

I. X This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 28, 2000.

X A copy of the Notice to File Missing Parts of Application - Filing Date Granted (Form PTO-1533) is enclosed.

II. X (5 pages) No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OR

— The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

(complete (c) or (d), if applicable)

Attached is a

(c) ☐ Statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the declaration.

(d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the PTO to obtain the filing date.

☒ (2 pages) Verified Statement Claiming Small Entity Status - Small Business; and (2 pages) Verified Statement Claiming Small Entity Status - Independent Inventor are also enclosed.

AMENDMENT CANCELLING CLAIMS

III. ☐ Cancel claims ☐ inclusive.

TRANSMITTAL OF ENGLISH TRANSLATION OF NONENGLISH LANGUAGE PAPERS

IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

V. ☒ Applicant qualifies for small entity status.

VI. ☒ Applicant's Assignee qualifies for small entity status.

COMPLETION FEES

VII. 1. Filing fee

<input type="checkbox"/> original patent application	\$ <input type="text"/>
<input type="checkbox"/> design application	\$ <input type="text"/>

2. Fees for claims

___ each independent claim in excess of 3 \$ _____

___ each claim in excess of 20 \$ _____

___ multiple dependent claim(s) \$ _____

3. Surcharge fees

X late payment of filing fee and/or late filing of original oath \$ 65.00

4. ___ Petition and fee for filing by other than all the inventors or person not the inventor \$ _____

5. ___ Fee for processing an application filed with a specification in a non-English language \$ _____

6. ___ Fee for processing and retention of application \$ _____

7. X Assignment (see Assignment Cover Sheet) (\$40.00 is due) \$ 40.00

Total completion fees \$ 105.00

EXTENSION OF TIME

VII. The proceedings herein are for a patent application, and the provisions of 37 CFR § 1.136(a) apply.

(a) ___ Applicant petitions for an extension of time, the fees for which are set in 37 C.F.R. § 1.17(a)(1)-(4), for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
___ one month	\$110.00	\$ 55.00
___ two months	\$380.00	\$190.00
___ three months	\$870.00	\$435.00
___ four months	\$1,360.00	\$680.00

Fee: \$_____

If an additional extension of time is required, please consider this a petition therefor.

___ An extension for ___ months has already been secured, and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$_____

or

(b) X Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VIII. The total fee due is

Completion fee(s) \$ 105.00

Extension fee (if any) \$_____

Total Fee Due \$ 105.00

PAYMENT OF FEES

IX. ___ Enclosed is a check in the amount of \$_____

X Charge Account No. 04-1700 in the amount of \$ 105.00 for the completion fee (\$65.00) (declaration and power of

attorney), and separately submitted Assignment (\$40.00).
A duplicate of this request is attached.

Please charge Account No. 04-1700 for any fees that may
be due by this paper

AUTHORIZATION TO CHARGE ADDITIONAL FEES

X. X The Commissioner is hereby authorized to charge the
following additional fees that may be required by this
paper and during the pendency of this application to
Account No. 04-1700.

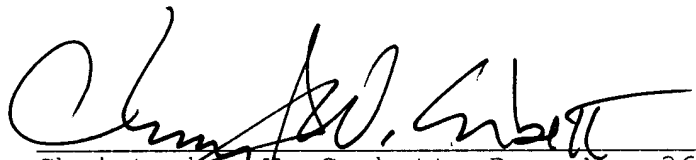
 37 CFR § 1.16(a), (f) or (g) (filing fees)

 37 CFR § 1.16(b), (c) and (d) (presentation of
extra claims)

X 37 CFR § 1.16(e) (surcharge for filing the basic
filing fee and/or declaration on a date later than
the filing date of the application)

 37 CFR § 1.17(a)(1)-(5) (extension fees pursuant to
§ 1.136(a))

 37 CFR § 1.17 (application processing fees)



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Agent for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/634,960	08/08/2000	Frank Karlsen	5775.018

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Dunlap Coddling & Rogers P C
9400 North Broadway Suite 420
Oklahoma City, OK 73114



FORMALITIES LETTER



OC000000005435816

Date Mailed: 09/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- **The balance due by applicant is \$ 65.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2000 JADDD1 00000074 041700 09634960
01 FC:205 65.00 CH